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Date of Deposit: November 8, 2000

Attorney Docket No. 19374-509

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FIRST-NAMED INVENTOR OR  
APPLICATION IDENTIFIER: Sean Farmer

FOR: INHIBITION OF PATHOGENS BY PROBIOTIC BACTERIA

November 8, 2000  
Boston, Massachusetts

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

REQUEST FOR FILING A NEW NONPROVISIONAL APPLICATION  
UNDER 37 C.F.R. §1.53(b)

1. This is a request for filing a new nonprovisional application under 37 C.F.R. §1.53(b).
2. ☒ Specification and Drawings (Total pages: 86);  
Specification (70 pages); Claims (3 pages); Abstract (1 page); and  
Drawings: 12 sheets; FIGS. 1-12.  
☐ Formal  
☒ Informal
3. ☒ Declaration and Power of Attorney  
☒ Unsigned  
☐ Signed
4. ☐ Information Disclosure Statement (IDS)  
☐ Copy of IDS and PTO-1449 (\_\_\_ pages)  
☐ Copies of references cited
5. ☐ Assignment Papers  
☐ Recordation Form Cover Sheet (PTO-1595)  
☐ Assignment Document
6. ☐ Statement Claiming Small Entity Status  
☐ Claiming Small Entity As Independent Inventor (37 C.F.R. §§1.9(f) & 1.27(b)).  
☐ Claiming Small Entity As Small Business Concern (37 C.F.R. §§1.9(f) & 1.27(c)).  
☐ Claiming Small Entity As Nonprofit Organization (37 C.F.R. §§1.9(f) & 1.27(d)).

FIRST-NAMED INVENTOR: FARMER

Request for New Nonprovisional Application (37 C.F.R. §1.53(b))

7. Fee Calculation

CLAIMS AS FILED					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 C.F.R. 1.16(a) \$710.00
Total Claims (37 C.F.R. 1.16(c))	35	- 20 =	15	\$ 18.00	270.00
Independent Claims (37 C.F.R. 1.16(b))	5	- 3 =	2	\$80.00	160.00
Multiple Dependent Claim(s), if any (37 C.F.R. 1.16(d))				\$270.00	0
				SUBTOTAL:	\$1140.00
				Reduction by 50% for filing by small entity:	- \$570.00
				TOTAL FEE:	\$570.00

8. ☒ A check in the amount of **\$570.00** is enclosed.
9. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 50-0311, Ref. No. 19374-509.
- ☒ Fees required under 37 C.F.R. §1.16;
- ☒ Fees required under 37 C.F.R. §1.17;
- ☒ Fees required under 37 C.F.R. §1.18.
10. ☒ Return Receipt Postcard Enclosed.
11. ☐ Other Documents Enclosed:
- ☐ Change of Attorney Address In Application.
- ☐ Limited Recognition under 37 C.F. § 10.9(b) for Michel Morency.

Respectfully submitted,

*I A Beattie*

Dated: November 8, 2000

Ivor R. Elrifi, Reg. No.39.529  
Ingrid A. Beattie, Reg. No. 42,306  
Attorneys for Applicant  
MINTZ, LEVIN, COHN, FERRIS,  
GLOVSKY and POPEO, P.C.  
One Financial Center  
Boston, Massachusetts 02111  
Tel: (617) 542-6000  
Fax: (617) 542-2241

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Sean Farmer  
ASSIGNEE: Not Yet Assigned  
SERIAL NUMBER: To Be Assigned EXAMINER: Not Yet Assigned  
FILING DATE: November 8, 2000 ART UNIT: Not Yet Assigned  
FOR: INHIBITION OF PATHOGENS BY PROBIOTIC BACTERIA

JC825 U.S. PTO  
09/708870  
11/08/00

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TRANSMITTAL LETTER

Transmitted herewith for filing in the above-referenced patent application are the following documents:

- ☒ Request for Filing a New Non-provisional Application (2 pgs.);
- ☒ Specification, Claims and Abstract (74 pgs.);
- ☒ Unexecuted Combined Declaration and Power of Attorney (3 pgs.);
- ☒ Unexecuted Statement Claiming Small Entity Status (2 pgs.);
- ☒ Check #7194 in the amount of \$570.00; and
- ☒ Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned collect at 617-542-6000, Boston, Massachusetts.

Although Applicant believes no additional fees are due in connection with this filing, the Commissioner is hereby authorized to charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 19374-509. A duplicate copy of this Transmittal Letter is enclosed.

Respectfully submitted,

*Iv R. Elrifi*

Ivor R. Elrifi, Reg. No. 39,529  
Ingrid A. Beattie, Reg. No. 42,306  
Attorneys for Applicants  
c/o MINTZ, LEVIN  
One Financial Center  
Boston, Massachusetts 02111  
Tel: (617) 542-6000  
Fax: (617) 542-2241